## Bound states in strong interaction physics (from a functional point of view)

$$
\mathcal{L}_{Q C D}=\bar{\psi}(-\not D+m) \psi+\mathcal{L}_{Y M}
$$

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## Content and what to expect

- Bound states and quantum chromodynamics


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- Application to different systems: 2, 3, 4 (or more) constituents
- Input and truncations: Models and first-principle


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- First application to QCD: dynamic mass creation and mesons
- Application to different systems: 2, 3, 4 (or more) constituents
- Input and truncations: Models and first-principle
- Application to glueball spectrum


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- Personal, biased selection of examples
- Dyson-Schwinger/Bethe-Salpeter (DS/BS) framework only
- No pentaquarks, hybrids (status: exploratory)
- Focus on spectrum $\rightarrow$ no form factors etc.
- Challenges of functional bound state calculations


## Reading material

This presentation (with links): mqh.at/physics/presentations
A small selection to get started:

- R. Alkofer and L. von Smekal, "The Infrared behavior of QCD Green's functions: Confinement dynamical symmetry breaking, and hadrons as relativistic bound states", Phys. Rept. 353 (2001) 281, hep-ph/0007355
- P. Maris and C. D. Roberts, "Dyson-Schwinger equations: A Tool for hadron physics", Int. J. Mod. Phys. E 12 (2003) 297, nucl-th/0301049
- A. Bashir, L. Chang, I. C. Cloet, B. El-Bennich, Y. X. Liu, C. D. Roberts and P. C. Tandy, "Collective perspective on advances in Dyson-Schwinger Equation QCD", Commun. Theor. Phys. 58 (2012) 79, arXiv:1201. 3366
- Baryons: G. Eichmann, H. Sanchis-Alepuz, R. Williams, R. Alkofer, C.S. Fischer, "Baryons as relativistic three-quark bound states", Progress in Particle and Nuclear Physics 91 (2016) 1-100, arXiv:1606.09602
- Christian S. Fischer, Hadron physics with functional methods, Internationale Universtitätswochen für Theoretische Physik, Admont, 2017


## Reading material, cont.

Special topics:

- Tetraquarks: G. Eichmann, C. S. Fischer, W. Heupel, N. Santowsky and P. C. Wallbott, "Four-Quark States from Functional Methods", Few Body Syst. 61 (2020) no.4, 38, arXiv:2008.10240
- Glueballs: M. Q. Huber, C. S. Fischer and H. Sanchis-Alepuz, "Higher spin glueballs from functional methods", Eur. Phys. J. C 81 (2021) no.12, 1083, arXiv:2110.09180
- Correlation functions: M. Q. Huber, "Nonperturbative properties of Yang-Mills theories", Phys. Rept. 879 (2020) 1, arXiv:1808.05227; M. Q. Huber, "Correlation functions of Landau gauge Yang-Mills theory", Phys. Rev. D 101 (2020), 114009, arXiv:2003.13703

If you want to know (technical) details:

- Derivation of correlation functions: M. Q. Huber, A. K. Cyrol and J. M. Pawlowski, "DoFun 3.0: Functional equations in Mathematica", Comput. Phys. Commun. 248 (2020), 107058, arXiv:1908.02760
- Technical basics: see webpage (material from Doctoral Training Program 2022, ECT*, Trento)
- Advanced techniques: H. Sanchis-Alepuz and R. Williams, "Recent developments in bound-state calculations using the Dyson-Schwinger and Bethe-Salpeter equations", Comput. Phys. Commun. 232 (2018), 1-21, arXiv:1710.04903


## Bound states

Constituents bound by some force.

- Localized
- Attractive force
- Behaves as a single object (under certain conditions)
- Discrete spectrum (as opposed to free constituents)
- 2 or more constituents


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2 fermions in QED:

- Example: Hydrogen atom

- one-photon exchange
- Coulomb potential $\propto 1 / r$
- spin-orbit coupling: fine splitting
- spin-spin coupling: hyperfine splitting


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We will look for poles in $n$-point functions/scattering matrices!

# The strong interaction 

Quantum chromodynamics:
gauge theory

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Quantum chromodynamics:

gauge theory

$$
\begin{aligned}
\mathcal{L}_{\mathrm{QED}}= & \bar{\psi}(-\not D+m) \psi \\
& +\frac{1}{2} \operatorname{Tr}\left\{F_{\mu \nu} F^{\mu \nu}\right\} \\
F_{\mu \nu}= & \partial_{\mu} A_{\nu}-\partial_{\nu} A_{\mu}
\end{aligned}
$$

## The strong interaction

Quantum chromodynamics: non-Abelian gauge theory

$\infty 00000000$

$$
\begin{aligned}
\mathcal{L}_{\text {QCD }}= & \sum_{\text {flavor } f} \bar{\psi}_{f}(-D+m) \psi_{f} \\
& +\frac{1}{2} \operatorname{Tr}\left\{F_{\mu \nu} F^{\mu \nu}\right\} \\
F_{\mu \nu}= & \partial_{\mu} A_{\nu}-\partial_{\nu} A_{\mu}+i g\left[A_{\mu}, A_{\nu}\right] \\
A_{\mu}= & T^{a} A_{\mu}^{a}
\end{aligned}
$$

gauge group $S U(3) \rightarrow 3$ colors for quarks, 8 gluons

## The strong interaction

Quantum chromodynamics: non-Abelian gauge theory


## Properties of the strong interaction

- Confinement: "no free quarks or gluons"
dual superconductor picture, center vortices, Kugo-Ojima, ... many open questions
- Dynamical mass creation:
- light quarks $\sim \mathrm{MeV}$
- proton $\sim \mathrm{GeV}$
- $\rightarrow$ chiral symmetry and its breaking
- Rich spectrum: mesons, baryons, exotics (XYZ states, multiquark states, states with gluonic content)


## From protons to quarks

Status 1947: Electron, proton, neutron, photon $\rightarrow$ Build the world around us.

Cosmic rays: positron, pions, muon (Rabi: "Who ordered that?")
hypothesized: neutrino
1947-1950: Kaons, Lambda
"Particle zoo": Many new particles (hadrons) found Pauli: "Had I foreseen that, I would have gone into botany."


Alexander Gorfer (quant.uni-graz.at), CC-BY-SA 4.0, mod.

## Bound states of the strong interaction

Quark model 1964:

- Solve Schrödinger equation with a given potential, e.g., Cornell:

$$
V(r)=-\frac{4}{3} \frac{\alpha s}{r}+\sigma r+\text { const. }
$$

- Abundance of states



Baryon


- Yang-Mills (infinitely heavy quarks): potential rises linearly
- QCD: string between quarks can break $\rightarrow$ creation of quark/antiquark pair


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## Exotics:



Baryon


## Multiplets

## Quark model

Classification in terms of mesons or baryons $\rightarrow$ multiplets

Outside this classification $\rightarrow$ exotics


## Multiplets

## Quark model

Classification in terms of mesons or baryons $\rightarrow$ multiplets

Outside this classification $\rightarrow$ exotics


Classification not always easy, e.g., scalar sector $J^{P C}=0^{++} . \rightarrow$ tetraquarks, glueballs

## Hadrons as bound states

Hadron masses from correlation functions of color singlet operators.

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Examples:
$J^{P C}=0^{-+}$meson $\rightarrow O(x)=\bar{\psi}(x) \gamma_{5} \psi(x)$
$J^{\mathrm{PC}}=0^{++}$glueball $\rightarrow O(x)=F_{\mu \nu}(x) F^{\mu \nu}(x)$

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D(x-y)=\langle O(x) O(y)\rangle
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Lattice: Mass from exponential Euclidean time decay

$$
\lim _{t \rightarrow \infty}\langle O(x) O(0)\rangle \sim e^{-t M}
$$

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$$
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$$

Functional approach:
Glueball:


+ 3-loop diagrams [MQH, Cyrol, Pawlowski, Comput.Phys.Commun. 248 (2020)]


Leading order: [Windisch, MQH, Alkofer, Phys.Rev.D87 (2013)]

## Derivation of bound state equations I

For more details see [Eichmann, Sanchis-Alepuz, Williams, Alkofer, Fischer, Prog.Part.Nucl.Phys. 91 (2016)]

## $n$-particle bound state

Pole in a $2 n$-point function.
For simplicity here $n=2$.

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Full 4-point function:

$$
G=G_{0}+G_{0} T G_{0}
$$


disconn. connected part
$\rightarrow$ scattering matrix $T$ (amputated, conn.
part of $G$ )

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Dyson equations: nonperturbative resummations! Compare:
$f(x)=\frac{1}{1-x}=1+x+x^{2}+\ldots=1+x f(x)=1+x+x^{2} f(x)$

$$
G=G_{0}+G_{0} K G
$$



$$
T=K+K G_{0} T
$$



Scattering kernel K: 2-particle irreducible with respect to horizontal quarks lines (created by iteration)

## Derivation of bound state equations II

On-shell: at pole position $P^{2}=-M^{2}$

$$
G \rightarrow \frac{\psi \bar{\psi}}{P^{2}+M^{2}}
$$


$T \rightarrow \frac{\Gamma \bar{\Gamma}}{P^{2}+M^{2}}$


Bethe-Salpeter amplitude $\Gamma$ is the amputated wave function, $\psi=G_{0} \Gamma$.

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## Elements of a BSE

$$
\Gamma=K G_{0} \Gamma
$$

Input:

- Propagators $G_{0}$
- Kernel K

Output:

- Mass M
- Bethe-Salpeter amplitudes 「



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Symmetry constraints: Propagators and kernels are not independent!
Relevant for QCD: Chiral symmetry in quark sector $\rightarrow$ axial-vector Ward-Takahashi identity

## Functional elements

## Central object

1 PI effective action $\lceil[\Phi]$
$\Gamma[\Phi]$ is the generating functional of 1 PI correlation functions. $\rightarrow$ Vertex expansion:

$$
\Gamma[\Phi]=\sum_{i=0}^{\infty} \frac{1}{\mathcal{N}^{i_{1} \ldots i_{n}}} \sum_{i_{1}, \ldots, i_{n}} \Gamma_{\text {vertices }}^{i_{1} \ldots i_{n}} \Phi_{i_{1}} \ldots \Phi_{i_{n}}
$$

## Generating functionals

## Example: Scalar theory (Keep things simple...)

$$
S[\phi]=\int d x\left(\phi\left(-\partial^{2}+m^{2}\right) \phi+\frac{\lambda_{3}}{3!} \phi^{3}+\frac{\lambda_{4}}{4!} \phi^{4}\right)
$$

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$$

$\qquad$


Path integral:

$$
Z[J]=\int D[\phi] e^{-S[\phi]+\int d x \phi(x) J(x)}=e^{W[J]}
$$

$Z[J] \rightarrow$ Generating functional for full correlation functions
$\qquad$
$\qquad$



$W[J] \rightarrow$ Generating functional for connected correlation functions


## 1PI effective action

Legendre transform: New variable $\Phi(x)$ (averaged field $\Phi$ in presence of external source $J$ )

$$
\begin{aligned}
\Phi(x) & :=\langle\phi(x)\rangle_{J}=\frac{\delta W[J]}{\delta J(x)}=Z[J]^{-1} \int D[\phi] \phi(x) e^{-S[\phi]+\int d y \phi(y) J(y)} \quad\left(J(x)=\frac{\delta \Gamma[\Phi]}{\delta \Phi(x)}\right) \\
\Gamma[\Phi] & =-W[J]+\int d x \Phi(x) J(x)
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$$

$\Gamma[\Phi] \rightarrow 1 \mathrm{PI}$ effective action, generating functional of one-particle irreducible correlation functions
(All correlation functions can be constructed from 1PI correlation functions.)

## Propagators and vertices

Propagator:

$$
\begin{gathered}
D(x, y)=D(x-y)=\left.\frac{\delta^{2} W[J]}{\delta J(x) \delta J(y)}\right|_{J=0}=\langle\phi(x) \phi(y)\rangle-\langle\phi(x)\rangle\langle\phi(y)\rangle \\
D(x, y)^{J}:=\frac{\delta^{2} W[J]}{\delta J(x) \delta J(y)}=\left(\frac{\delta^{2} \Gamma[\Phi]}{\delta \Phi(x) \delta \Phi(y)}\right)^{-1}
\end{gathered}
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\end{gathered}
$$

Derivatives of 1 PI effective action:
(Note $J \neq 0$ and "-" by convention.)

$$
\Gamma\left(x_{1}, \ldots, x_{n}\right)^{J}:=-\frac{\delta \Gamma[\Phi]}{\delta \Phi\left(x_{1}\right) \cdots \delta \Phi\left(x_{n}\right)}
$$

Physical vertices

$$
\Gamma\left(x_{1}, \ldots, x_{n}\right):=\Gamma\left(x_{1}, \ldots, x_{n}\right)^{J=0}, \quad n>2
$$

## Derivation of DSEs

Integral of a total derivative vanishes:

$$
0=\int D[\phi] \frac{\delta}{\delta \phi} e^{-S+\int d y \phi(y) J(y)}=\int D[\phi]\left(-\frac{\delta S}{\delta \phi(x)}+J(x)\right) e^{-S+\int d y \phi(y) J(y)}
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$$

Master DSE for 1PI correlation functions

$$
\frac{\delta \Gamma[\Phi]}{\delta \Phi(x)}=\left.\frac{\delta S}{\delta \phi(x)}\right|_{\phi\left(x^{\prime}\right)=\Phi\left(x^{\prime}\right)+\int d z D\left(x^{\prime}, z\right)^{J} \delta / \delta \Phi(z)}
$$

Get DSE for $n$-point function by applying $n-1$ derivatives.


## Automatized derivation with DoFun

## Derivation of functional equations

[Alkofer, MQH, Schwenzer, '08; MQH, Braun, '11; MQH, Cyrol, Pawlowski, '19]
$\rightarrow$ https://github.com/markusqh/DoFun/

Works in two steps:

- Symbolic derivation (no Feynman rules, just types of fields)
- Algebraic: Plug in Feynman rules


## See also QMeS-Derivation

[Pawlowski, Schneider, Wink, CPC 287
(2023)]
$\rightarrow$ https://github.com/
QMeS-toolbox/

## DSEs $\leftrightarrow$ flow equations

| Dyson-Schwinger equations (DSEs) | Functional RG equations (FRGEs) |
| :--- | :--- |
| 'integrated flow equations' | 'differential DSEs' |
| effective action $\Gamma[\phi]$ | effective average action $\Gamma^{k}[\phi]$ |
| - | regulator |
| $\frac{n-l o o p ~ s t r u c t u r e ~(~}{\text { n const. }}$. | 1-loop structure |
| exactly only bare vertex per diagram | no bare vertices |
| $\frac{s}{\delta \phi}[\lceil\phi]=$ |  |

- Both systems of equations are exact.
- Both contain infinitely many equations.


## Quark propagator

Described by 2 dressing functions:

$$
\begin{aligned}
\left(S^{i j}\right)^{-1} & =\delta^{i j}\left(i \not p A\left(p^{2}\right)+B\left(p^{2}\right)\right) \\
S^{i j} & =\delta^{i j} \frac{-i \not p A\left(p^{2}\right)+B\left(p^{2}\right)}{p^{2} A\left(p^{2}\right)^{2}+B\left(p^{2}\right)^{2}} \\
& =\delta^{i j} \frac{Z_{f}\left(p^{2}\right)}{p^{2}+M\left(p^{2}\right)^{2}}\left(-i \not p+M\left(p^{2}\right)\right)
\end{aligned}
$$

Quark renormalization function
$Z_{f}\left(p^{2}\right)=1 / A\left(p^{2}\right)$
Quark mass function
$M\left(p^{2}\right)=B\left(p^{2}\right) / A\left(p^{2}\right)$

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$$
p^{2}\left[G e V^{2}\right]
$$

## Quark propagator Dyson-Schwinger equation

- (Use a model.)


## Quark propagator Dyson-Schwinger equation

- (Use a model.)
- Calculate the propagator.

Dyson-Schwinger equation (exact!):


$$
\begin{aligned}
S(p)^{-1} & =S_{0}^{-1}-\Sigma(p) \\
\Sigma(p) & =-C_{F} g^{2} \int \frac{d^{4} q}{(2 \pi)^{4}} \operatorname{tr}\left\{\gamma^{\mu} S(q) D_{\mu \nu}(k) \Gamma^{\nu}(-k ;-p, q)\right\}
\end{aligned}
$$

- Gluon propagator $D_{\mu \nu}(k)=\left(g_{\mu \nu}-\frac{k_{\mu} k_{\nu}}{k^{2}}\right) Z\left(k^{2}\right)$
- Quark-gluon vertex $\Gamma^{a, \nu}(k ; p, q)=i g T^{a} \sum_{i=1}^{12} \tau_{i}^{\mu}(k ; p, q) h_{i}(k ; p, q)$


## Approximations: Simple model input

Bare vertex: $\gamma_{\mu}$
Gluon propagator:

- Munczek-Nemirovsky model (local in momentum space):
$D_{\mu \nu}(k) \propto \delta_{\mu \nu} \delta(k) \rightarrow$ algebraic equations
Mass creation
- Nambu-Jona-Lasinio/contact model (local in position space):
$D_{\mu \nu}(k) \propto \delta_{\mu \nu} c / \Lambda^{2} \rightarrow$ four-fermi interaction (cutoff as add. parameter)


Critical behavior in coupling $\rightarrow$ dynamical symmetry breaking

## Approximations: Rainbow

Need the gluon propagator $\left(Z\left(k^{2}\right)\right)$ and the quark-gluon vertex $\left(h_{i}(k ; p, q)\right)$.

- $\Gamma^{a, \nu}(k ; p, q) \propto \gamma^{\nu} h_{1}(k ; p, q)$
- $\frac{g^{2}}{4 \pi} Z\left(k^{2}\right) h_{1}(k ; p, q) \propto \alpha\left(k^{2}\right)$


Iteration $\rightarrow$ only 'rainbow-like' diagrams

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## Example for a model: Maris-Tandy interaction

\alpha\left(k^{2}\right)=\underbrace{\pi \eta^{7}\left(\frac{k^{2}}{\Lambda^{2}}\right)^{2} e^{-\eta^{2} \frac{k^{2}}{\Lambda^{2}}}}_{\alpha_{\mathrm{R}}\left(k^{2}\right)}+\alpha_{\cup V}\left(k^{2}\right)
\]



- Scale $\wedge$ from $f_{\pi}$
- Quark masses $m_{u}=m_{d}, m_{s}$ from $m_{\pi}, m_{K}$
- Parameter $\eta$ : window of small sensitivity (for meson masses and decay constants)
- $\alpha_{u v}$ : Phenomenologically irrelevant, provides correct perturbative running to quark propagator


## Kernel approximations

Kernel: "all interactions which are two-particle irreducbible with respect to two horizontal quark lines"

## Examples:

- Pertubation theory: one-particle exchange
- Models
- Systematic derivation from effective actions (see glueballs)

Analog to rainbow truncation:
ladder truncation


## Chiral symmetry

Massless QCD with 3 flavors: $U_{V}(1) \times S U_{V}(3) \times U_{A}(1) \times S U_{A}(3)$ flavor symmetry
Consequence of chiral symmetry for bound state equations:
Relation between quark selfenergy and kernel


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Chiral symmetry spontaneously broken $\rightarrow$ Goldstone theorem: massless bosons ( $\pi, K, \eta$ )

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Consequence of chiral symmetry for bound state equations:
Relation between quark selfenergy and kernel


Chiral symmetry spontaneously broken $\rightarrow$ Goldstone theorem: massless bosons ( $\pi, K, \eta$ )
Explicitly broken by quark masses, but quark masses small. $\rightarrow$ Goldstone bosons are light.
$\rightarrow$ Nontrivial to fulfill!

- Rainbow-ladder:
- Explicit construction for beyond rainbow-ladder, e.g., [Bender, Roberts, von Smekal, Phys.Lett.B 380 (1996); Williams, Fischer, Heupel, Phys. Rev. D 93 (2016); Qin, Roberts, Chin.Phys.Lett. 38 (2021)] $\rightarrow$ Cumbersome.


## Dynamic mass creation

## Amplitudes

$$
\Gamma=K G_{0} \Gamma
$$

$J^{\mathrm{PC}} \rightarrow$ Encoded in amplitude $\Gamma:$
Quark-antiquark-state $\rightarrow$ Dirac indices
Spin $\rightarrow$ Lorentz indices

$$
\Gamma(P, p)=\sum_{i=1}^{n} \tau^{i}(P, p) h_{i}(P, p)
$$

## Amplitudes

$$
\Gamma=K G_{0} \Gamma
$$

$J^{\mathrm{PC}} \rightarrow$ Encoded in amplitude $\Gamma$ :

Quark-antiquark-state $\rightarrow$ Dirac indices
Spin $\rightarrow$ Lorentz indices

$$
\Gamma(P, p)=\sum_{i=1}^{n} \tau^{i}(P, p) h_{i}(P, p)
$$

Finite number of tensors $\tau_{i}$ compatible with given $J^{\mathrm{PC}}$ !
Example: (pseudo)scalar mesons ( $J^{P C}=0^{ \pm+}$)
scalar $(P=+1)$ :

$$
\text { pseudoscalar }(P=-1) \text { : }
$$

$$
\tau^{i}(P, p)=\{\mathbb{1}, i \not \subset, i \not p,[p, \not \subset]\}
$$

$$
\tau^{i}(P, p) \gamma_{5}
$$

## Mass



$$
\lambda(P) \Gamma(P)=\mathcal{K} \cdot \Gamma(P)
$$

$\rightarrow$ Eigenvalue problem for $\Gamma(P)$

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Propagators are probed at $\left(q \pm \frac{P}{2}\right)^{2}=\frac{P^{2}}{4}+q^{2} \pm \sqrt{P^{2} q^{2}} \cos \theta=-\frac{M^{2}}{4}+q^{2} \pm i M \sqrt{q^{2}} \cos \theta$ $\rightarrow$ Complex for $P^{2}<0$ !

Time-like quantities $\left(P^{2}<0\right) \rightarrow$ Correlation functions for complex arguments.

## Quark propagator for complex arguments

Integration region ( $M=1 \mathrm{GeV}$ ):
$\left(q \pm \frac{P}{2}\right)^{2}=-\frac{M^{2}}{4}+q^{2} \pm i M \sqrt{q^{2}} \cos \theta$


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Analytic structure with Maris-Tandy model:

[Windisch, Phys. Rev. C 95 (2017)]

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Integration region ( $M=1 \mathrm{GeV}$ ):
$\left(q \pm \frac{P}{2}\right)^{2}=-\frac{M^{2}}{4}+q^{2} \pm i M \sqrt{q^{2}} \cos \theta$
$\Rightarrow$ Accessible $M$ determined by poles in propagator.

Analytic structure with Maris-Tandy model:


## Mesons from rainbow-ladder with Maris-Tandy interaction

$q \bar{q}:$


- Well investigated for more than 20 years
- Describes pseudoscalar and vector ground states well
- Not so good for other quantum numbers
- Also 'exotic' quantum numbers
[Fischer, Kubrak, Williams, Eur.Phys.J.A50 (2014)]


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## Baryons: 3-body bound state equation

[Eichmann, Sanchis-Alepuz, Williams, Alkofer, Fischer, Prog.Part.Nucl.Phys. 91 (2016)]
proton: uud quarks $\rightarrow$ three constituents ( $u=d$ : nucleon)

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- 2- and 3-body interactions


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- 3 momenta ( 1 total, 2 relative)


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Three-body bound states in six-point functions. $\rightarrow$ Faddeev equation


- 2- and 3-body interactions
- 3 momenta ( 1 total, 2 relative)
- Leading contribution (via three-gluon vertex) of 3-body interaction vanishes due to color


## Baryon masses


[Eichmann, Sanchis-Alepuz, Williams, Alkofer, Fischer,
Prog.Part.Nucl.Phys. 91 (2016)]

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## Quark-diquark approximation

3-body equation: transparent but numerically intricate (many Lorentz invariants and tensors)
Diquarks:
[Barabanov et al., Prog.Part.Nucl.Phys. 116 (2021)]

- Physics: Diquark clustering in baryons? $\rightarrow$ Quark-diquark models in spirit of quark model
- Diquarks: From simple models to rich dynamical structure
- Quark-quark correlations in $T$ matrix


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## Derivation of 2-body equation

(1) Neglect three-body interactions (approximation)
(2) Replace scattering kernels $K$ by two-body matrices $T$ (exact)
(3) Expansion in term of diquark correlations (approximation)
$\Rightarrow$ Fewer kinematic variables, smaller tensor basis (e.g., 8 instead of 64 for nucleon)

## Quark-diquark approximation

Faddeev equation:

- $\Gamma=\sum_{i} \Gamma_{i}=\sum_{i} K_{i} G_{0} \Gamma$
- Replace scattering kernels $K_{i}$ by two-body matrices $T_{i}: T_{i}=\left(1+T_{i} G_{0}\right) K_{i}$
- $T_{i} G_{0} \Gamma=\left(1+T_{i} G_{0}\right) \underbrace{K_{i} G_{0} \Gamma}_{\Gamma_{i}}$
- $\Gamma_{i}=T_{i} G_{0}\left(\Gamma-\Gamma_{i}\right)=T_{i} G_{0}\left(\Gamma_{j}+\Gamma_{k}\right)$


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Diquark approximation:
Quark-quark scattering matrix $\rightarrow$ sum over diquark correlations
Scalar and axialvector diquarks lightest $\rightarrow$ important in nucleon and $\Delta$

## Nucleon and $\Delta$

- Rainbow-ladder with Maris-Tandy interaction
- Parameters fixed in meson sector
- In good agreement with experiment
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## Overview

| DSE/BSE/Faddeev landscape (2015) |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | level of complexity |  |  |  |  |
|  | I) NJL/conta interactio |  |  |  |  |
|  |  |  |  |  |  |
|  | $\checkmark$ | $\checkmark$ | $\checkmark$ | $\checkmark$ | $\checkmark$ |
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[Fischer, Lecture at Internationale Universtitätswochen für Theoretische Physik, Admont, 2017]

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|  | level of complexity |  |  |  |  |
|  | M, NULeoract |  |  |  |  |
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|  | $\checkmark$ | $\checkmark$ |  |  |  |
| $\begin{aligned} & N^{*} \Delta^{*} \text { mases } \\ & N_{N} \rightarrow N^{*} / \Delta^{*} \end{aligned}$ | $\checkmark$ | $\checkmark$ | $\checkmark$ | $\checkmark$ |  |
|  | $\checkmark$ | $\checkmark$ | $\stackrel{\checkmark}{\checkmark}$ | v $\stackrel{y}{4}$ $\checkmark$ |  |
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## Tetraquarks

- Experimental discovery of exotic XZY states $\rightarrow$ four-quark states?


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History of $\sigma$ meson, lightest scalar nonet is incompatible with $q \bar{q}$ picture:
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$q \bar{q} q \bar{q}:$


## Light tetraquarks

Tetraquark picture confirmed by functional calculations [Heupel, Eichmann, Fischer, Phys. Lett. B 718 (2012); Eichmann, Fischer, Heupel, Phys. Lett. B 753, 282 (2016)]: $\sigma(500)$ is (dominantly) a four-quark state Mixing of $q \bar{q}$ and $q \bar{q} q \bar{q}$ states:


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Mixing of $q \bar{q}$ and $q \bar{q} q \bar{q}$ states:


## Structure of four-quark states

Consider heavy-light system, e.g., $X(3872)$.
Possible clustering of states:


## Tetraquarks

[Eichmann, Fischer, Santowsky, Wallbott, Few-Body Syst. 61 (2020)]
 (2016)]

- Negelect 3- and 4-body interactions
- Complicated kinematics (4 momenta):
- dressings $f(9$ Lorentz scalar)
- scalar tetraquark: 256 tensors
$\rightarrow$ Approximations necessary, e.g., only 2-body interactions


## Clustering

Dynamic distribution over different sectors:


## $\chi_{c 1}(3872)[X(3872)]$



- Rainbow-ladder with Maris-Tandy
- Quark mass dependence
- $D D^{*}: c \bar{q}, q \bar{c}$ (molecule)
- $\omega J / \psi: c \bar{c}, q \bar{q}$ (hadrocharmonium)
- $A S: c q, \overline{c q}$ (diquark-antidiquark)

Heavy-light meson poles more important than diquark poles.

## Summary so far

- Up to now only rainbow-ladder with effective interaction (Maris-Tandy)
- Good quantitative description of pseudoscalar and vector mesons, nucleon and $\Delta$
- Insight into tetraquark composition
- Important: chiral symmetry $\rightarrow$ Goldstone bosons, mass creation. Encoded in axialvector WTI $\rightarrow$ nontrivial relations between quark selfenergy and kernels.

Beyond rainbow-ladder?

## Glueballs

What makes glueballs special?


Mass dynamically created from massless (due to gauge invariance) gluons.

- No constituent matter particles $\rightarrow$ bound states of pure radiation
- Experimentally largely unexplored. Though a history of candidates. Recent results from $\mathrm{J} / \psi$ decay: $f_{0}(1710), f_{0}(1770)$ [Sarantsev, Denisenko, Thoma, Klempt, Phys. Lett. B 816 (2021); JPAC Coll., Rodas et al., Eur.Phys.J.C 82 (2022)]
- Theoretically not fully understood (existence, mixing, decays)


## Experiment:

Production in glue-rich environments, e.g., p $\bar{p}$ annihilation (PANDA), pomeron exchange in $p p$ (central exclusive production), radiative $J / \psi$ decays

## Bound state equations for QCD



- Require scattering kernel $K$ and propagator.


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- Quantum numbers determine which amplitudes 「 couple.


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- Require scattering kernels $K$ and propagators.
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- Ghosts from gauge fixing


## One framework

- Natural description of mixing.
- Similar equations for hadrons with more than two constituents


## Bound state equations for QCD

Focus on pure glueballs.


- Require scattering kernels $K$ and propagators.
- Quantum numbers determine which amplitudes $\Gamma$ couple.
- Ghosts from gauge fixing

One framework

- Natural description of mixing.
- Similar equations for hadrons with more than two constituents


## 3PI effective action

[Review: MQH, Phys.Rept. 879 (2020)]
Introduce sources for propagators and three-point functions into path integral and perform additional Legendre transformations:

$$
\begin{aligned}
Z\left[J, R^{(2)}, R^{(3)}, \ldots\right] & =\int D[\phi] e^{-S+\phi_{i} J_{i}+\frac{1}{2} R_{i j}^{(2)} \phi_{i} \phi_{j}+\frac{1}{3!} R_{i j k}^{(3)} \phi_{i} \phi_{j} \phi_{k}} \\
\Gamma[\Phi] & \rightarrow\left[\Phi, D, \Gamma^{(3)}\right]
\end{aligned}
$$

3PI effective action truncated at three-loops:
[Berges, PRD70 (2004); Carrington, Guo, PRD83 (2011)]


## Kernel construction

$$
K=-2 \frac{\delta^{2} \Gamma^{31}}{\delta D^{2}}
$$

$\rightarrow$ Kernels constructed by cutting two legs: gluon/gluon,ghost/gluon, gluon/ghost, ghost/ghost [Fukuda, Prog. Theor. Phys 78 (1987); McKay, Munczek, Phys. Rev. D 40 (1989); Sanchis-Alepuz, Williams, J. Phys: Conf. Ser. 631 (2015); MQH, Fischer, Sanchis-Alepuz, Eur.Phys.J.C80 (2020)]


## Kernels

Systematic derivation from 3PI effective action: [Berges, PRD70 (2004); Carrington, Guo, PRD83 (2011)] Self-consistent treatment of 3-point functions requires 3-loop expansion.

[Fukuda, Prog. Theor. Phys 78 (1987); McKay, Munczek, Phys. Rev. D 40 (1989); Sanchis-Alepuz, Williams, J. Phys: Conf. Ser. 631 (2015); MQH, Fischer, Sanchis-Alepuz, Eur.Phys.J.C80 (2020)]

## Reminder: Functional spectrum calculations in rainbow-ladder truncation

Success in describing many aspects of the hadron spectrum qualitatively and quantitatively (mostly) based on rainbow-ladder truncation!

Workhorse for more than 20 years: Rainbow-ladder truncation with an effective interaction, e.g., Maris-Tandy (or similar).


## Functional glueball calculations

Glueballs? Rainbow-ladder?


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There is no rainbow for gluons!


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Glueballs? Rainbow-ladder?

There is no rainbow for gluons!

Model based BSE calculations
$(J=0)$ :

- [Meyers, Swanson, Phys.Rev.D87 (2013)]
- [Sanchis-Alepuz, Fischer, Kellermann, von Smekal, Phys.Rev.D92, (2015)]
- [Souza et al., Eur.Phys.J.A56 (2020)]
- [Kaptari, Kämpfer, Few Body Syst. 61 (2020)]



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## Correlation functions of quarks and gluons

## Equations of motion: 3-loop 3PI effective action


$\qquad$ $-1$ $\qquad$ $-1$


- Conceptual and technical challenges: nonperturbative renormalization, two-loop diagrams, convergence, size of kernels, ..
- Self-contained: Only parameters are the strong coupling and the quark masses!
- Long way, e.g., ghost-gluon vertex, three-gluon vertex, four-gluon vertex, ...,
- $\rightarrow$ MQH, Phys.Rev.D 101 (2020)


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- $\rightarrow$ MQH, Phys.Rev.D 101 (2020)


## Start with pure gauge theory.

## Landau gauge propagators

## Self-contained: Only external input is the coupling!

Gluon dressing function:


Family of solutions [von Smekal, Alkofer, Hauck,
PRL79 (1997); Aguilar, Binosi, Papavassiliou,
Phys.Rev.D 78 (2008); Boucaud et al., JHEP06 (2008);
Fischer, Maas, Pawlowski, Ann.Phys. 324 (2008);
Alkofer, MQH, Schwenzer, Phys. Rev. D 81 (2010)]
Nonperturbative completions of Landau gauge [Maas, Phys. Lett. B 689 (2010)]?

Three-gluon vertex:


Ghost dressing function:


## Stability of the solution

- Agreement with lattice results.


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3PI vs. 2-loop DSE:


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3PI vs. 2-loop DSE:


DSE vs. FRG:

[Cucchieri, Maas, Mendes, Phys.Rev.D77 (2008); Sternbeck et al., Proc.Sci. LATTICE2016 (2017); Cyrol et al., Phys.Rev.D 94 (2016); MQH, Phys.Ref.D101 (2020)]

## Stability of the solution: Extensions

- Three-gluon vertex: Tree-level dressing dominant, others subleading [Eichmann, Williams, Alkofer, Vujinovic, Phys.Rev.D89 (2014); Pinto-Gómez et al., 2208.01020]


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- Four-gluon vertex: Influence on propagators tiny for $d=3$ [MQH, Phys.Rev.D93 (2016)]
- Two-ghost-two-gluon vertex [МQН, Eur. Phys.J.C77 (2017)]: (FRG: [Corell, SciPost Phys. 5 (2018)])








## Gauge invariance

Couplings can be extracted from each vertex.

- Slavnov-Taylor identities (gauge invariance): Agreement perturbatively (UV) necessary. [Cyrol et al., Phys.Rev.D 94 (2016)]
- Difficult to realize: Small deviations $\rightarrow$ Couplings cross and do not agree.
- Here: Vertex couplings agree down to GeV regime (IR can be different).



## Correlation functions for complex momenta



$$
\lambda(P) \Gamma(P)=\mathcal{K} \cdot \Gamma(P)
$$

$\rightarrow$ Eigenvalue problem for $\Gamma(P)$ :
(1) Solve for $\lambda(P)$.
(2) Find $P$ with $\lambda(P)=1$.
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(1) Solve for $\lambda(P)$.
(2) Find $P$ with $\lambda(P)=1$.
$\Rightarrow M^{2}=-P^{2}$
(pseudoscalar glueball)
However:
Propagators are probed at $\left(q \pm \frac{P}{2}\right)^{2}=\frac{P^{2}}{4}+q^{2} \pm \sqrt{P^{2} q^{2}} \cos \theta=-\frac{M^{2}}{4}+q^{2} \pm i M \sqrt{q^{2}} \cos \theta$ $\rightarrow$ Complex for $P^{2}<0$ !

Time-like quantities $\left(P^{2}<0\right) \rightarrow$ Correlation functions for complex arguments.

## Correlation functions in the complex plane

$$
\text { Standard integration techniques fail. } \quad \int d^{4} q \rightarrow \int_{\Lambda_{\mathrm{RR}}^{2}}^{\Lambda_{\mathrm{UV}}^{2}} d q^{2} \int d \theta_{1}
$$

Consider example integral:

$$
K\left(p^{2}\right)=\int d q^{2} J\left(q^{2}, p^{2}\right), \quad J\left(p^{2}, q^{2}\right)=\int d q^{2} \int d \theta \sin ^{2} \theta_{1} \frac{1}{q^{2}+p^{2}+\sqrt{p^{2}} \sqrt{q^{2}} \cos \theta_{1}+m^{2}} \frac{1}{q^{2}+m^{2}}
$$

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$$

After $\theta_{1}$ integration:


## Extrapolation of $\lambda\left(P^{2}\right)$

## Extrapolation method

- Extrapolation to time-like $P^{2}$ using Schlessinger's continued fraction method (proven superior to default Padé approximants) [Schlessinger, Phys.Rev. 167 (1968)]
- Average over extrapolations using subsets of points for error estimate

$$
f(x)=\frac{f\left(x_{1}\right)}{1+\frac{a_{1}\left(x-x_{1}\right)}{1+\frac{a_{2}\left(x-x_{2}\right)}{1+\frac{a_{3}\left(x-x_{3}\right)}{\cdots}}}}
$$

Coefficients $a_{i}$ can determined such that $f(x)$ exact at $x_{i}$.

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Test extrapolation for solvable system:
Heavy meson [MQH, Sanchis-Alepuz, Fischer, Eur.Phys.J.C 80 (2020)]

$$
f(x)=\frac{f\left(x_{1}\right)}{1+\frac{a_{1}\left(x-x_{1}\right)}{1+\frac{a_{2}\left(x-x_{2}\right)}{1+\frac{\partial_{3}\left(x-x_{3}\right)}{\cdots}}}}
$$

Coefficients $a_{i}$ can determined such that $f(x)$ exact at $x_{i}$.


## Extrapolation for glueball eigenvalue curves




Several curves: ground state and excited states.

## Glueball results $\mathrm{J}=0$

Gauge-variant correlation functions:


## Glueball results $\mathrm{J}=0$

Gauge-variant correlation functions:

## Unique physical spectrum:



## Glueball results $\mathrm{J}=0$

Gauge-variant correlation functions:
Unique physical spectrum:


Spectrum independent! $\rightarrow$ Family of solutions yields the same physics.

## Glueball results



[MQH, Fischer, Sanchis-Alepuz, Eur.Phys.J.C81 (2021)]
*: identification with some uncertainty
${ }^{\dagger}$ : conjecture based on irred. rep of octahedral group

- Agreement with lattice results
- (New states: $0^{* *++}, 0^{* *-+}, 3^{-+}, 4^{-+}$)


## Model vs. first-principle calculations

| Bottom-up | Top-down |
| :--- | :--- |
| Models | Direct calculations |
| Parameters: dependent (-), tuning (+) | No parameters: independent (+), no tuning (-) |
| Often simpler | Typically more involved |
| Well-tried and successful for certain applications | Requires good control and tests of input |
| Good to test qualitative understanding | Results form first principles possible |
|  |  |

## Summary

- Model-based calculations:
- Meson and baryon spectrum
- Tetraquarks: Scalar multiplet, clustering




## Summary

- Model-based calculations:
- Meson and baryon spectrum
- Tetraquarks: Scalar multiplet, clustering


- From first principles:
- Input: agreement with other methods (lattice + continuum) and extensions tested
- Quantitative results for glueball spectrum



Thank you for your attention.

## Derivation of DSEs (details) I

Integral of a total derivative vanishes:

$$
0=\int D[\phi] \frac{\delta}{\delta \phi} e^{-S+\int d y \phi(y) J(y)}=\int D[\phi]\left(-\frac{\delta S}{\delta \phi(x)}+J(x)\right) e^{-S+\int d y \phi(y) J(y)}
$$

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Integral of a total derivative vanishes:

$$
0=\int D[\phi] \frac{\delta}{\delta \phi} e^{-S+\int d y \phi(y) J(y)}=\int D[\phi]\left(-\frac{\delta S}{\delta \phi(x)}+J(x)\right) e^{-S+\int d y \phi(y) J(y)}
$$

Pull in front of integral $\rightarrow$ Master DSE for full correlation functions

$$
0=\left(-\left.\frac{\delta S}{\delta \phi(x)}\right|_{\phi\left(x^{\prime}\right)=\delta / \delta J\left(x^{\prime}\right)}+J(x)\right) \underbrace{Z[J]}_{e^{W[J]}}=0
$$

## Derivation of DSEs (details) I

Integral of a total derivative vanishes:

$$
0=\int D[\phi] \frac{\delta}{\delta \phi} e^{-S+\int d y \phi(y) J(y)}=\int D[\phi]\left(-\frac{\delta S}{\delta \phi(x)}+J(x)\right) e^{-S+\int d y \phi(y) J(y)}
$$

Pull in front of integral $\rightarrow$ Master DSE for full correlation functions

$$
\begin{aligned}
0=\left(-\left.\frac{\delta S}{\delta \phi(x)}\right|_{\phi\left(x^{\prime}\right)=\delta / \delta J\left(x^{\prime}\right)}+J(x)\right) & \underbrace{Z[J]}_{e^{W[J]}}=0 \\
& e^{-W[J]}\left(\frac{\delta}{\delta J(x)}\right) e^{W[J]}=\frac{\delta W[J]}{\delta J(x)}+\frac{\delta}{\delta J(x)}
\end{aligned}
$$

$\rightarrow$ Master DSE for connected correlation functions

$$
-\left.\frac{\delta S}{\delta \phi(x)}\right|_{\phi\left(x^{\prime}\right)=\frac{\delta W(J)}{\delta J\left(x^{\prime}\right)}+\frac{\delta}{\delta J\left(x^{\prime}\right)}}+J(x)=0 .
$$

## Derivation of DSEs (details) II

Legendre transformation:

$$
\begin{gathered}
\frac{\delta W[J]}{\delta J(x)} \rightarrow \Phi(x) \\
\frac{\delta}{\delta J(x)} \rightarrow \int d z D(x, z)^{J} \frac{\delta}{\delta \Phi(z)} \\
\left(\frac{\delta}{\delta J(x)}=\int d z \frac{\delta \Phi(z)}{\delta J(x)} \frac{\delta}{\delta \Phi(z)}=\int d z \frac{\delta}{\delta J(x)} \frac{\delta W[J]}{\delta J(z)} \frac{\delta}{\delta \Phi(z)}=\int d z \frac{\delta^{2} W[J]}{\delta J(x) \delta J(z)} \frac{\delta}{\delta \Phi(z)}\right)
\end{gathered}
$$

## Derivation of DSEs (details) II

Legendre transformation:

$$
\begin{gathered}
\frac{\delta W[J]}{\delta J(x)} \rightarrow \Phi(x) \\
\frac{\delta}{\delta J(x)} \rightarrow \int d z D(x, z)^{J} \frac{\delta}{\delta \Phi(z)} \\
\left(\frac{\delta}{\delta J(x)}=\int d z \frac{\delta \Phi(z)}{\delta J(x)} \frac{\delta}{\delta \Phi(z)}=\int d z \frac{\delta}{\delta J(x)} \frac{\delta W[J]}{\delta J(z)} \frac{\delta}{\delta \Phi(z)}=\int d z \frac{\delta^{2} W[J]}{\delta J(x) \delta J(z)} \frac{\delta}{\delta \Phi(z)}\right)
\end{gathered}
$$

## Master DSE for 1PI correlation functions

$$
-\left.\frac{\delta S}{\delta \phi(x)}\right|_{\phi\left(x^{\prime}\right)=\Phi\left(x^{\prime}\right)+\int d z D\left(x^{\prime}, z\right)^{J} \delta / \delta \Phi(z)}+\frac{\delta \Gamma[\Phi]}{\delta \Phi(x)}=0
$$

Get DSE for $n$-point function by applying $n-1$ derivatives.

## Three-gluon vertex



- Simple kinematic dependence of three-gluon vertex (only singlet variable of $S_{3}$ )
- Large cancellations between diagrams


## Ghost-gluon vertex

Ghost-gluon vertex:

[Maas, SciPost Phys. 8 (2019);
MQH, Phys. Rev. D 101 (2020)]

- Nontrivial kinematic dependence of ghost-gluon vertex
- Qualitative agreement with lattice results, though some quantitative differences (position of peak!).


## Landau gauge propagators in the complex plane

Simpler truncation:


## Landau gauge propagators in the complex plane

Simpler truncation:

$\rightarrow$ Opening at $q^{2}=p^{2}$.

## Landau gauge propagators in the complex plane

Simpler truncation:

$\rightarrow$ Opening at $q^{2}=p^{2}$.
Appearance of branch cuts for complex momenta forbids integration directly to cutoff.

## Landau gauge propagators in the complex plane

Simpler truncation:

## Landau gauge propagators in the complex plane

Simpler truncation:

[Fischer, MQH, Phys.Rev.D 102 (2020)]
Ray technique for self-consistent solution of a DSE:


- Current truncation leads to a pole-like structure in the gluon propagator.
- Analyticity up to 'pole' confirmed by various tests (Cauchy-Riemann, Schlessinger, reconstruction)


## Higher order diagrams



One-loop diagrams only:
[MQH, Fischer, Sanchis-Alepuz, Eur.Phys.J.C80
(2020); MQH, Fischer, Sanchis-Alepuz,

Eur.Phys.J.C81 (2021)]

Two-loop diagrams: subleading effects

- $0^{-+}$: none
[MQH, Fischer, Sanchis-Alepuz, EPJ Web Conf. 258 (2022)]
- $0^{++}:<2 \%$
[MQH, Fischer, Sanchis-Alepuz, HADRON2021, arXiv:2201.05163]


## Amplitudes

Eigenvectors of eigenvalue problem: Amplitudes, information about significance of single parts.

Ground state scalar glueball:
Amplitudes $0^{++}$


Excited scalar glueball:
Amplitudes $0^{*++}$

$\rightarrow$ Amplitudes have different behavior for ground state and excited state. Useful guide for future developments.
$\rightarrow$ Meson/glueball amplitudes: Information about mixing.

## Glueball amplitudes for spin $J$

$$
\Gamma_{\mu \nu \rho \sigma \ldots}\left(p_{1}, p_{2}\right)=\sum \tau_{\mu \nu \rho \sigma \ldots}^{i}\left(p_{1}, p_{2}\right) h_{i}\left(p_{1}, p_{2}\right)
$$



Numbers of tensors:

| $J$ | $\mathrm{P}=+$ | $\mathrm{P}=-$ |
| :--- | :---: | :---: |
| 0 | 2 | 1 |
| 1 | 4 | 3 |
| $>2$ | 5 | 4 |

## $J=1$ glueballs

## Landau-Yang theorem

Two-photon states cannot couple to $J^{P}=1^{ \pm}$or $(2 n+1)^{-}$
[Landau, Dokl.Akad.Nauk SSSR 60 (1948); Yang, Phys. Rev. 77 (1950)].
( $\rightarrow$ Exclusion of $J=1$ for Higgs because of $h \rightarrow \gamma \gamma$.)

Applicable to glueballs?
$\rightarrow$ Not in this framework, since gluons are not on-shell.
$\rightarrow$ Presence of $J=1$ states is a dynamical question.
$J=1$ not found here.

## Charge parity

Transformation of gluon field under charge conjugation:

$$
A_{\mu}^{a} \rightarrow-\eta(a) A_{\mu}^{a}
$$

where

$$
\eta(a)= \begin{cases}+1 & a=1,3,4,6,8 \\ -1 & a=2,5,7\end{cases}
$$

Color neutral operator with two gluon fields:

$$
A_{\mu}^{a} A_{\nu}^{a} \rightarrow \eta(a)^{2} A_{\mu}^{a} A_{\nu}^{a}=A_{\mu}^{a} A_{\nu}^{a} .
$$

$\Rightarrow C=+1$
Negative charge parity, e.g.:

$$
\begin{aligned}
d^{a b c} A_{\mu}^{a} A_{\nu}^{b} A_{\rho}^{c} \rightarrow & -d^{a b c} \eta(a) \eta(b) \eta(c) A_{\mu}^{a} A_{\nu}^{b} A_{\rho}^{c}= \\
& -d^{a b c} A_{\mu}^{a} A_{\nu}^{b} A_{\rho}^{c}
\end{aligned}
$$

Only nonvanishing elements of the symmetric structure constant $d^{\text {abc }}$ : zero or two indices equal to 2,5 or 7 .

